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Attorney Docket No. 3193.01US03

PRELIMINARY AMENDMENT TRANSMITTAL

In re the application of:

Unger

Confirmation No.: 2748

Application No.: 10/652,814

Examiner: Riggins, P.

Filed: August 29, 2003

Group Art Unit: 1633

For: NANOCAPSULE ENCAPSULATION SYSTEM AND METHOD

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment in the above-identified application.

[X] Applicant(s) is/are entitled to small entity status in accordance with 37 CFR 1.27.

The filing fee has been calculated as shown below:

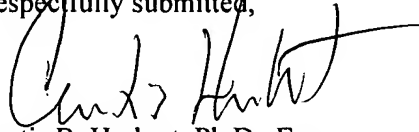
	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra (Equals)	Small Entity Rate	Add'l Fee	OR	Large Entity Rate	Add'l Fee
Total	58	- 67	= 0	x 25	\$0.00		x 50	\$
Indep.	4	- 3	= 1	x 100	\$100.00		x 200	\$
Mult. Dep.			=	+ 180	\$		+ 360	\$
TOTAL					\$100.00	OR	TOTAL	\$

[] First Presentation of Multiple Dependent Claim [MDC]

- * If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior Amendment or the number of claims originally filed.

[X] A check in the amount of \$100.00 is attached. The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. § 1.16 for presentation of extra claims or credit any overpayment to Deposit Account No. 16-0631.

Respectfully submitted,



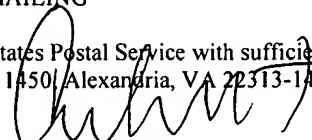
Curtis B. Herbert, Ph.D., Esq.
Registration No. 45,443

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 16-0631.

CERTIFICATE OF MAILING

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

APRIL 18 2004
Date of Deposit


Curtis B. Herbert, Ph.D., Esq.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 3193.01US03

Unger

Confirmation No.: 2748

Application No.: 10/652,814

Examiner: Riggins, P.

Filed: August 29, 2003

Group Art Unit: 1633

For: NANOCAPSULE ENCAPSULATION SYSTEM AND METHOD

PRELIMINARY AMENDMENT TO CLAIMS AND INSTRUCTION TO FILE A
SUBSTITUTE SPECIFICATION

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Substitute Specification

Pursuant to MPEP §714 and 37 C.F.R. §§1.121, 1.125, which provide for replacement of the present specification with a substitute specification:

Prior to examination please replace the present specification with the substitute specification enclosed herein. A marked-up copy and a clean copy of the substitute specification are provided. The specification and abstract have been amended to add headings, correct minor typographical and grammatical errors, and more closely conform the specification to customary U.S. practice. The substitute specification does not introduce new matter.

Preliminary Amendment to the claims:

Please also amend the claims in the above-identified application as described in the following sections:

04/24/2006 WASFAW1 00000070 10652814

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100.00 0P

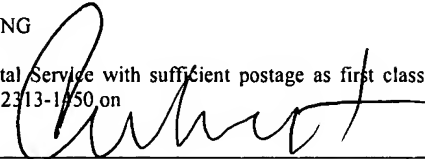
- A. Amendments to the claims
- B. Statement of the Claims
- C. Remarks

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